



**Joseph Jaffe, Esq.**  
**Partner, LePatner & Associates, LLP**  
**President, Proactive Integrity Associates, LLC**

**Joseph Jaffe** is a Partner at LePatner and Associates, LLP, in New York City where he heads the forensic and due diligence team of Proactive Integrity Associates, LLC.

He was a partner in a general practice law firm for ten years. He specialized in civil and criminal litigation for general commercial and municipal clients. He left the private practice of the law to help found Decision Strategies, a private investigative consulting and security firm, specializing in litigation support, risk management, fact gathering, corporate compliance and integrity programs and government appointed monitorships. He founded SIRM Services, Ltd., a similar firm. He served as Managing Director and Service Line Leader of UHY Advisors FLVS, Inc. located in New York City, the Forensic Litigation and Valuation arm of UHY Advisors, Inc.

Mr. Jaffe has overseen and participated in a host of investigative and fact gathering assignments, including allegations of employee dishonesty, sexual harassment, union corruption, inventory theft, intellectual property theft, prevailing wage violations, corporate compliance, corporate governance, money laundering investigations, construction accidents, Foreign Corruption Practices Act violations, fraud violations, SEC and other Federal law violations. He has supervised numerous due diligence investigations into the backgrounds of individuals and entities and know your customer investigations for corporations and financial institutions and coordinated litigation support assignments for trial attorneys throughout the country in both the civil and criminal areas. He has also overseen investigative assignments in the United States and throughout the world for State, Federal and private entities as well as for overseas governments.

Mr. Jaffe served as the elected District Attorney of Sullivan County, New York, investigating and prosecuting a wide range of state crimes. He also served seven years in the United States Attorney's Office for the Southern District of New York as Assistant United States Attorney, Deputy Chief of the Criminal Division and Chief of the Official Corruption Section and as Administrative Assistant United States Attorney. He also served as Acting Chief Inspector of the U.S. Drug Enforcement Administration. Investigated and tried complex business fraud, public corruption, terrorist and bombing cases.

Mr. Jaffe was appointed by the United States District Court in Manhattan as Monitor of a New York labor union local to assure compliance with a Federal Consent Order, by the SEC as Independent Consultant pursuant to a Consent Order and has overseen a number of monitoring and IPSIG assignments for governmental entities in multiple states.

Mr. Jaffe served as Chairman of the Merit Selection Panel for Magistrate Judges of the Southern District of New York and as a member of the New York State Third Department Judicial Nominating Committee. He is Past Chair of the Criminal Justice Section of the New York State Bar Association and Founding Member and past Secretary of the New York State Association of Criminal Defense Lawyers. He is currently the Vice President of the International Association of the Independent Private Sector Inspectors General

Mr. Jaffe regularly lectures, presents and writes for Attorneys, Accountants and the Business and Financial Community.

## **Background:**

- Received his J.D. from the New York University School of Law, *Cum Laude*
- Graduate of Cornell University, New York State School of Industrial and Labor Relations
- Was an editor of the *NYU Law Review*
- UHY Advisors FLVS, Inc., Manhattan, NY, 2005-2010  
Managing Director and Service Line Leader
- SIRM Services, Ltd., Manhattan, NY, 2003-2005  
Founder
- Decision Strategies, Stamford, CT and New York, NY, 1991-2003  
Managing Director, Executive Vice President and General Counsel
- Levine, Silverman, Jaffe & Klein, Liberty N.Y., 1982-1991  
Partner
- Office of the District Attorney of Sullivan County, Monticello, NY, 1978-1981  
Elected District Attorney
- United States Attorney Office, Southern District of New York, New York, NY, 1971-1977  
Administrative Assistant U.S. Attorney  
Deputy Chief, Criminal Division  
Chief, Official Corruption Section
- United State Drug Enforcement Administration, Washington, D.C., 1975-1976  
Acting Chief Inspector
- United States District Court Southern District of New York, New York, NY, 1969-1971  
Law Clerk to the Honorable Frederick van Pelt Bryan, United States District Judge for the Southern District of New York

## **Professional Memberships:**

- American Bar Association - Criminal Justice Section, Business Law Section
- New York State Bar Association - Criminal Justice Section (Past Chair), County Courts Committee (Past Chair); [Member House of Delegates](#); [Member Task Force on Government Ethics](#)
- Association of the Bar of the City of New York
- Sullivan County Bar Association (Past President)
- National Association of Criminal Defense Lawyers (Past Secretary and Board Member)
- International Association of Independent Private Sector Inspectors General (Vice President)
- Federal Bar Council